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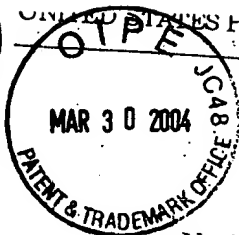
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Notice of Non-Compliant Amendment (37 CFR 1.121)

COMMISSIONER FOR PATENT
UNITED STATES PATENT AND TRADEMARK OFFICE
P.O. BOX 142
ALEXANDRIA, VA 22313-142
www.uspto.gov

Paper No.

The amendment document filed on 2-3-04 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following item(s) is required. **Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.** 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
 - ☐ B. New paragraph(s) should not be underlined.
 - ☐ C. Other _____
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
 - ☐ B. Other _____
- ☐ 3. Amendments to the drawings: _____
- ☒ 4. Amendments to the claims:
- ☒ A. A complete listing of all of the claims is not present. claims 1-36
 - ☐ B. The listing of claims does not include the text of all claims (including withdrawn claims).
 - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.
 - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
 - ☐ E. Other: _____

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given **ONE MONTH** from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a **TIME PERIOD** of **ONE MONTH** from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. **The period for response to a final rejection continues to run from the date set in the final rejection**, and is not affected by the non-compliant status of the amendment.

Brenda Murphy
Legal Instruments Examiner (LIE)

(571) 272-1033
Telephone No.



U.S. Express Mail No.: ER452506875US
Attorney Docket No.: AM-3396.D1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Anisul Khan et al.

SERIAL NO.: 09/740,146

FILED: December 18, 2000

FOR: APPARATUS FOR PERFORMING
SELF CLEANING METHOD OF FORMING
DEEP TRENCHES IN SILICON SUBSTRATES

§ GROUP ART UNIT: 1763

§

§ EXAMINER: G. A. Goudreau

§

§

§ Attorney Docket No.:

§

AM-3396.D1

§

Date: March 30, 2004

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT AND
REVISED AMENDMENT "B" UNDER 37 CFR § 1.111**

**Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450**

Sir:

This Revised Amendment "B" is in response to the Notice of Non-Compliant Amendment mailed March 8, 2004, having a shortened statutory period for response of April 8, 2004. The original Amendment "B" was submitted on February 5, 2004. This Revised Amendment "B" is identical to applicant's original Amendment "B", except that Claims 1 - 36 are identified above as "Cancelled".

Claims 37 - 55 are pending in the application.

Claims 37 - 55 are rejected under 35 USC § 103(a) as being unpatentable over U.S. Patent No. 6,136,211, to Qian et al.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as U.S. EXPRESS MAIL NO. ER452506875US in an envelope addressed to: Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: March 30, 2004


Shirley L. Church, Reg. No. 31,858

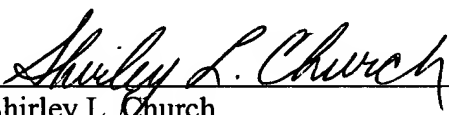
CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR		PRESENT EXTRA		RATE	ADDITIONAL FEE
TOTAL CLAIMS	18	minus	20	=	0	x	\$18.00	\$00.00
INDEPENDENT CLAIMS	1	minus	3	=	0	x	\$86.00	\$00.00
MULTIPLE DEPENDENT CLAIMS			0				\$290.00	\$00.00
							TOTAL FEE DUE	\$00.00

Applicants do not believe that any fee is due in connection with the filing of this Revised Amendment "B", because it is being submitted within the one-month time period set forth on the Notice of Non-Compliant Amendment. However, if a fee is due, the Commissioner is hereby authorized to charge any such fee to Deposit Account No. 05-1512 of Shirley L. Church, Sunnyvale, California.

This transmittal letter is submitted in duplicate for accounting purposes.

Respectfully Submitted,


 Shirley L. Church
 Registration No. 31,858
 Attorney for Applicants

Correspondence Address:
 Patent Counsel
 Applied Materials, Inc.
 P.O. Box 450-A
 Santa Clara, California 95052